

Estimation of Stock Reproductive Potential: History and Challenges for Canadian Atlantic Gadoid Stock Assessments

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Abstract

The knowledge and scientific history of maternal and paternal aspects of reproduction dates back several decades, though only recently are fishery scientists and managers giving this subject area greater attention in the provision of management advice. This paper outlines and summarizes several recent advances made in understanding the importance of variation in gadoid reproductive output as a function of spawner age, size, maturation, condition and reproductive history. An assembled score-card applied to 45 Canadian Atlantic gadoid stock assessments conducted from 1985 to 1998 portrays the evolution and current degree to which this scientific knowledge has been incorporated into fishery advice in eastern Canada. For future research, recommendations are made which promote the integration of basic reproductive biology into groundfish stock assessments. These emerging policies encourage managers worldwide to initiate relevant sampling programs which will lead to improved stock conservation reference points. A new term referred to as stock reproductive potential (SRP) is introduced. Compared to spawning stock biomass and population fecundity, SRP more accurately represents the annual variation in a stock's ability to produce viable eggs and larvae that may eventually recruit to the adult population or fishery. SRP is a term (concept) which will likely evolve in such a manner as to provide a more accurate measure of a stock's reproductive potential.

Key words: cod, egg quality, fecundity, management, recruitment, stock assessment, spawning stock biomass (SSB), stock reproductive potential (SRP)

Introduction

In eastern Canada, commercial fishing moratoria still remain in effect for the majority of groundfish stocks as efforts to rebuild these resources continue. From July 1992 to January 1994, fishing moratoria were imposed on six principal Atlantic cod (*Gadus morhua*) stocks and one haddock (*Melanogrammus aeglefinus*) stock. The effects of these closures on eastern Canadian cod landings have been significant, as the amount harvested in 1997 was 37 000 metric tons compared to 425 000 metric tons in 1990. In addition to the declines in adult abundance which led to these closures, fundamental changes have occurred in the structure of spawning stock biomass (SSB) for these northwest Atlantic gadoid stocks. These changes include the loss of large, old, experienced spawners, younger ages and smaller sizes at sexual maturity, reduced egg quality, shorter spawning period, as well as low condition factor of individuals

(Hutchings and Myers, 1993; Trippel, 1995; Trippel *et al.*, 1997a,b; Trippel, 1998; Lilly, MS 1997; Lambert and Dutil, 1997a). These altered adult characteristics are considered to be symptoms of populations under stress. In April, 1998, the Committee on Status of Endangered Wildlife in Canada (COSEWIC) listed Atlantic cod as "Vulnerable" on their annual list of species at risk. "Vulnerable" is defined as "a species of special concern because of characteristics that make it particularly sensitive to human activities or natural events."

In 1993, the Fisheries Resource Conservation Council (FRCC) of Canada was formed as an outcome of the fishing crisis that developed in the Canadian Atlantic. The FRCC is made up of individuals from university, government, and industry. The role of the FRCC is to: (1) consider information about the status of fish stocks, (2) consult broadly with interested stakeholders and (3)

make recommendations about conservation requirements for groundfish stocks. Conservation has been a key issue with the Council. Through their consultations they noted in their report of July 1997 (FRCC, MS 1997) that:

"One factor in the collapse of Atlantic Canada's groundfish fisheries was a lack of attention to the logical connection between spawning and future recruitment of young fish".

Furthermore,

"while it is obvious that a decrease in the number of spawners to very low levels must have a negative impact on the future production of new young fish, such a connection was not usually incorporated into stock assessments nor into fishery management decision making. This was largely because of the difficulty faced by scientists in proving that such links exist, even though they logically must. In the future, such links should be assumed."

They also adopted a Precautionary Approach (FAO, MS 1995) in their provision of advice. For example,

"It is reasonable to assume that depleting the spawning potential (catching too many spawners, particularly older fish) will tend to damage future recruitment, and indeed, that for each stock, there is a certain critical spawning potential below which the chance of stock collapse becomes substantial. We will never know these levels precisely, so a Precautionary Approach suggests that while science and management must understand as much as possible about the spawning process, in any case spawning stocks must be kept well above likely critical levels".

Given the proposed establishment of these critical levels, they were clear that:

"the reproductive capacity of the stock appears not to be properly measured by the absolute volume of spawning biomass, as generally assumed."

Spawning Stock Biomass Not Equal to Stock Reproductive Potential

There is substantial support for these FRCC statements. The assumption that SSB adequately represents stock reproductive potential has been

prevalent for some time in the fisheries literature (Myers *et al.*, 1994), as commonly used biological reference points rely heavily on the term SSB (Table 1). As well, standard practices and assumptions often made when using stock-recruitment models developed by Beverton and Holt (1957), Ricker (1954) and Shepherd (1982) have incorporated the term SSB (although these models were originally developed using fecundity; Rothschild and Fogarty, 1989; Koslow, 1992). In many instances, a given weight of adult biomass is presumed to have an equal likelihood of generating the same level of recruitment. This deduction occurs regardless of whether the SSB is comprised of scrawny, low condition fish, a large fraction of which may be skipping reproduction, or a well fed population of highly fecund fish. This disparity in reproductive potential among equal values of SSB is why the traditional use of this term is so potentially problematic.

In light of these issues, the intent of this paper is to (1) review research activity on cod reproduction, (2) introduce the term stock reproductive potential (SRP) as an alternative to spawning stock biomass (SSB), and (3) review Canadian gadoid stock assessments in their integration of reproductive biology in stock-specific management advice between 1985–1997/98.

Research to address the implications of changes in adult age/size structure and other issues concerning cod reproductive potential accelerated in the late-1980s and 1990s and took advantage of the ability of cod to spawn freely in captivity. These research efforts have run parallel and gained in relevance and momentum with mounting stock collapses and failure of their recoveries to date (Myers *et al.*, 1997). Experiments on reproductive biology of captive marine batch-spawning fish, most notably on cod, were conducted in several marine laboratories having responsibilities and/or scientific interest in the fishery resources of the north Atlantic and Baltic Sea (Table 2). At the St. Andrews Biological Station, considerable effort has been made to evaluate spawning potential of cod of both sexes (Table 3). Research on captive cod egg production has also recently been conducted in Canada at the Maurice Lamontagne Institute in Mont Joli, Québec (Lambert and Dutil, 1999), the Northwest Atlantic Fisheries Centre, St. John's, Newfoundland (Wilson-Short *et al.*, 1995), and Memorial University of Newfoundland (Burton *et al.*, 1997). This Canadian effort, pioneering work

TABLE 1. Several key papers outlining biological reference points used in fisheries management. Many of these reference points utilize the term spawning stock biomass (SSB). This list is not meant to be all encompassing.

Maguire and Mace (1993)

Biological reference points for Canadian Atlantic gadoid stocks

- Yield per recruit (F_{MSY} , $F_{0.1}$, F_{max})
- Spawning stock biomass per recruit (SPR)
- Stock-recruitment relationships (e.g. Serebryakov 1991)

Goodyear (1993)

Spawning stock biomass per recruit in fisheries management: foundation and current use

- % SPR is recommended as a reference point for defining overfishing since it is based on the premise of stock replacement.
- common basis of overfishing definition for US marine fisheries
- F_{med} , F_{rep} (Sissenwine and Shepherd 1987)

Mace and Sissenwine (1993)

How much spawning per recruit is enough?

Caddy and Mahon (1995)

FAO report on reference points for fisheries management.

ICES (MS 1997)

Report of the Study Group on the Precautionary Approach to Fisheries Management

- F_{crash}

Serchuk *et al.* (MS 1997)

Report of Working Group of the NAFO Scientific Council on the Precautionary Approach

- Biomass reference points (B_{lim} , B_{buf} , B_{target})
 - Fishing mortality reference points (F_{lim} , F_{buf} , F_{target})
 - Precautionary reference points (lim , buf , and $target$)
-

by Norwegian scientists (Solemdal, MS 1970; Kjesbu, 1989; Kjesbu *et al.*, 1996; Solemdal *et al.*, MS 1992; MS 1995) and recent studies in Sweden (Nissling *et al.*, 1998), Iceland (Marteinsdottir and Thorarinsson, 1998; Marteinsdottir and Steinarsson, 1998) and Norway (Solemdal, 1997; Marshall *et al.*, 1998; Kjesbu *et al.*, 1998) have impacted analyses of stock-recruitment data. Many scientists no longer view a spawning population as a single mass of animals that collectively return each year to a spawning ground to mate, shed gametes, and re-disperse. The term SSB, or the collective weight of mature fish, reflects this conventional approach. Instead, these scientists and others are beginning to partition an adult population into its component parts and to discuss, hypothesize and test how each may be partly responsible for future recruitment (Ellertsen and Solemdal, MS 1990; Marshall *et al.*, 1998; MacKenzie *et al.*, MS 1998). Ulltang (1996) indicates that at present only a fraction of the

potential information on spawning potential is included in groundfish stock assessments (Fig. 1).

As an alternative to SSB, a new term is introduced in this paper. This term is referred to as Stock Reproductive Potential or SRP. Compared to SSB, SRP more accurately represents the annual variation in a stock's ability to produce viable eggs and larvae that may eventually recruit to the adult population or fishery. Although the SRP acronym has not been previously used, this parental aspect of stock-recruitment relationships has been advanced in work by Marshall *et al.* (1998) and Murawski *et al.* (1999).

SSB for a given year is determined by the number of mature fish in each cohort multiplied by their respective mean weights. The determination of SRP is more complicated and extends beyond estimation of population fecundity (Table 4). A

TABLE 2. Research institutions in the North Atlantic and Baltic Sea area involved in the conduct of experiments on the reproductive biology of captive cod.

Institution	Year(s) of research activity, contributors and source of cod
Institute of Marine Research, Bergen, Norway	1968–72 – Solemdal 1986–present – Kjesbu and others Coastal cod 1989–93 (long term) Arcto-Norwegian cod 1991–present
Ar Laboratory, Gotland, Sweden Institute of Marine Research, Lysekil, Sweden	1995–present – Nissling, Larsson, Vallin, Frohlund Baltic cod
Marine Research Institute, Reykjavik, Iceland	1994–present – Marteinsdottir, Steinarsson Icelandic cod
Maurice Lamontagne Institute, Mont-Joli, Québec, Canada	1995–present – Lambert, Ouellet, Dutil, Browman NAFO 4T
St. Andrews Biological Station, St. Andrews, New Brunswick, Canada	1983–84 – Waiwood, Chambers 1991–present – Trippel, Rakitin, Fordham, Ferguson, Neilson NAFO 4X
Northwest Atlantic Fisheries Centre, St. John's, Newfoundland, Canada	1994 – Morgan, Crim, Wilson-Short NAFO 2J3KL, "trawling effects"
Memorial University, St. John's, Newfoundland, Canada	1993 – Burton, Penney, Biddiscombe NAFO 3L, "non-annual maturation"
Dalhousie University, Halifax, Nova Scotia, Canada	1995–96 – Hutchings, Bishop, McGregor-Shaw NAFO 4W, "mating behaviour"

portion of the term SRP accounts for differences in egg viability between first and second-time spawning cod, as applied to Georges Bank cod (Murawski *et al.*, 1999). Improved estimation of stock reproductive potential led to an improvement over the use of SSB in the fitting of an equation for the reproductive potential-recruitment relationship for northeast Arctic cod (Marshall *et al.*, 1998). SRP is a term which will likely evolve to provide the most accurate measure of a stock's reproductive potential.

Management Measures for Other Fishes (Non-Gadoids)

In North America in the 1980s, substantial debate occurred over slot size limits, protection of old fish, and minimum and maximum body lengths for species such as walleye (*Stizostedion vitreum*), bass (*Micropodus* spp.) and trout (*Salvelinus* spp.) (Jensen, 1981; Brousseau and Armstrong, 1987; Scarnecchia *et al.*, 1989; Novinger, 1990; Trippel, 1993). Consequently, freshwater fisheries manage-

ment embraced an *intra-population concept* to protect spawners in advance of marine fisheries management.

At present, a number of policies exist in Canadian provinces and states in the USA where live release of large adults is mandatory. This is in contrast to previous freshwater management practices which were based on creel limits alone (i.e. maximum possession limit of a species regardless of body size, although return of juvenile sizes were sometimes enforced). Moreover, it is interesting that fisheries management of a large-bodied marine anadromous species has viewed reproductive biology at the individual level to be a more important part of their restoration programs than management practices pertaining to long-lived demersal marine species.

An individual Atlantic salmon (*Salmo salar*) has the potential to spawn more than 2 or 3 times during its life span. Since 1984, retention of these large multi-sea-winter fish has been prohibited in

TABLE 3. Research conducted on Atlantic cod at the St. Andrews Biological Station pertaining to the evaluation of stock reproductive potential.

Captive/wild		Reference
Sperm quality		
Captive	Virgin and repeat spawners, motility, spermatocrit, fertilization, hatching success	Trippel and Neilson (1992)
Wild	Gonadosomatic index, spermatocrit, fertilization, hatching, timing of spawning, male age, size	Trippel and Morgan (1994a, b)
Captive	Condition factor, sire size, mate competition, sperm competition, DNA fingerprinting, seasonal and annual changes in sperm density	Rakitin <i>et al.</i> (1999a, b)
Captive	Sperm motility patterns – maternal effects, salinity	Litvak and Trippel (1998)
Egg Quality		
Captive	Egg size, maternal length, condition factor, seasonal changes	Chambers and Waiwood (1996)
Captive	First and second time spawners, egg size, fertilization rate, hatching rate, spawning duration, larval dry weight, relative yolk sac size, seasonal changes	Trippel (1998)
Maturity and Spawning		
Wild	Changes in age and length at sexual maturity. Test of methodology to assess maturity stages (visual/histological)	Trippel <i>et al.</i> (1997a)
Wild	Mating – leks – spawning aggregation – sex segregation	Morgan and Trippel (1996)
Wild	Multiple spawning grounds within a management unit	Benham and Trippel (MS 1998)
Captive	Feeding behaviour in relation to spawning	Fordham and Trippel (1999)
Wild	Consequences of fishing pressure and early maturity on lifetime fecundity. Mature fish are larger at age than immature fish	Trippel <i>et al.</i> (1995)
Wild	Females attain older ages and larger sizes than males	Hunt (1996)

parts of maritime Canada. To view the scientific interest in studying salmonid reproduction one need only examine the topic of several departmental research documents published by Dept. of Fisheries and Oceans, Canada scientists over the past 10–15 years (e.g. O'Connel and Reddin, MS 1983; Amiro *et al.*, MS 1985; O'Connel, MS 1986; Ritter *et al.*, MS 1990; O'Connel and Dempson, MS 1991). Salmon egg number, spawning areas, etc. are central to river management discussions and are manifested in a target egg production of 2.4 eggs/m² of spawning ground. However, salmon biologists have large assumptions remaining in their models (e.g. universal application of the target egg deposition rate among all rivers).

In another large marine resource, the American lobster (*Homarus americanus*), recognition of spawning potential has been evident for over a century, as protection of "berried" or fecund females

and of small lobsters (<0.5 kg) has been in effect in Canada since 1871. In Maine, a maximum size regulation has been in effect on lobsters since 1933. Thus, managers of non-gadoid resources were first to recognize the importance of body size and preserving a balanced age structure – the benefits of which could include greater offspring quality and recruitment success.

History of Maternal Factors and Application to Groundfish Stock Assessments

Several review papers have recently been published which highlight the considerable amount of scientific activity directed at the subject of parent-progeny relationships in teleost fishes (Chambers and Leggett, 1996; Trippel *et al.*, 1997b; Solemdal, 1997). Scientists have known for some time that the size and quality of an egg or larva is influenced by maternal physiological status. Female

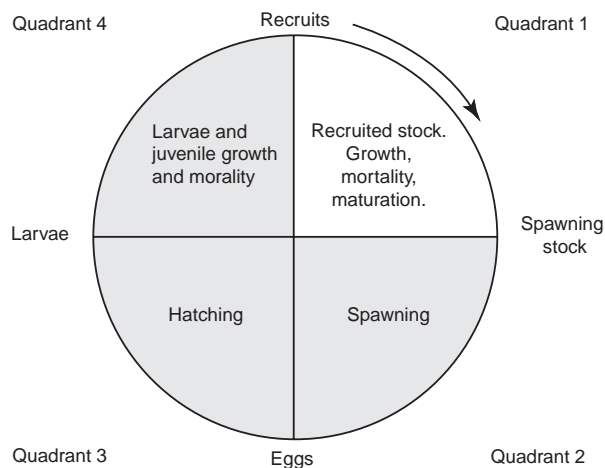


Fig. 1. Paulik (1973) figure which illustrates that stock assessment advice is commonly restricted to the unshaded area (growth, mortality and maturation – quadrant 1) and traditionally does not include subsequent life history stages involved in recruitment (quadrants 2–4). Sources: Ulltang (1996) and Solemdal (1997).

state, whether it be body size, years of reproductive experience, or ration during gametogenesis, can in some way alter egg quality and presumably influence larval quality and survivorship. In marine fish species, egg size has been shown to increase with fish body size in a number of studies (Table 5). These early reports of a maternal size-egg size relationship for several fish species were preceded by observations on cod (see Chambers and Waiwood, 1996). For example, smaller or younger females captured at Cape Ann, Massachusetts had smaller eggs than larger, older cod (Earll, 1880). Eggs of Atlantic cod in the Deutschen Bucht decreased in size throughout the spawning season (Heincke and Ehrenbaum, 1900). Older females arrived on the Lofoten spawning grounds earlier than younger ones (Sund *et al.*, 1938). And more recently, in the 1990s, studies have been undertaken to examine various factors influencing cod egg viability (Table 6).

At first glance, if these studies have been so well documented, why then has there been such a time lag in their recognition and application to coldwater marine fisheries management? To appreciate the difficulty for fishery scientists to modify their standard practices one may wish to

consider that historical levels of recruitment of northwest Atlantic groundfish have been extremely variable (Maguire and Mace, 1993). Traditionally, the size of SSB was *not* considered to have a significant impact on recruitment levels of batch-spawning fish (e.g. Hutchings and Myers, 1994; Walters and Maguire, 1996). Only recently, with declines in stock sizes and recruitment levels, have cod reproductive studies gained recognition.

Prior to 1990, none of the Canadian Atlantic cod stock assessments that the author reviewed made mention of age and size at sexual maturity or any of the other reproductive parameters listed in Table 7. These assessments ranked low on a scale which measures the integration of reproductive parameters to evaluate stock reproductive potential. Using the score card shown in Table 7, each of the 45 Canadian Atlantic gadoid stock assessments reviewed received a score ranging from zero to a possible 10 (Table 8; Fig. 2; Appendices 1 and 2; the Appendices provide information on stock biomass, landings, and statements on fish reproduction made by stock assessment authors). From these scores, it is clear that the 1985 and 1990 annual Canadian stock assessments which were conducted prior to stock collapses did not identify critical SSB levels below which stocks should not be allowed to decline. Although not reported in the annual stock assessments, during 1985–90, there was some activity in analyzing stock-recruitment relationships for these stocks and in the development of maturity ogives (e.g. Beacham, 1983; Baird *et al.*, MS 1986; Rice and Evans, MS 1986). Perhaps these relationships and data were not reported because they were not perceived to lend themselves to reliable management advice, especially since the focus was on advice pertaining to yield-per-recruit analyses ($F_{0.1}$).

Since the groundfish moratoria and a succession of several poor year-classes (e.g. Fig. 3 and 4) the importance of incorporating reproductive biology in Canadian stock assessment reports has been recognized. Scores of between 3–4 out of 10 have been recorded in the use of reproductive data and good examples of improvement have been noted, for example, in assessments of Div. 4VsW and Div. 2J and 3KL cod and Div. 4TVW haddock. However, many other stock assessments continue to show only limited advancement in this aspect of stock status evaluation.

TABLE 4. Various maternal and paternal reproductive attributes to be considered for estimation of stock reproductive potential (SRP). Parental attributes such as reproductive experience and condition factor, as well as environmental factors (e.g. water temperature), will interact to influence viable reproductive output per individual fish in a given year. Parental effects on larval viability are also shown. Predictive equations among the variables presented may be used to produce estimates that, in comparison with SSB estimates, more accurately reflect the annual variation in a fish stock's ability to produce viable eggs and larvae which may eventually recruit to the adult population. For examples see Marshall *et al.* (1998) for Arcto-Norwegian cod and Murawski *et al.* (1999) for Georges Bank cod.

	Spawning Stock Biomass (SSB)
	<ul style="list-style-type: none"> • number of mature fish at age • mean weight of mature fish at age
	Stock Reproductive Potential (SRP)
Maternal reproductive experience	<p>Female</p> <ul style="list-style-type: none"> • proportion mature at age • non-annual maturation of adults • egg production (fecundity at length, age) • viable eggs (fertilization, hatching success) • sex ratio • body size at age • other factors – spawning duration <ul style="list-style-type: none"> – egg size, larval size – egg nutrient and lipid content – time to starvation – larval activity – first feeding success – compensatory growth
	<ul style="list-style-type: none"> – Condition factor – Length
Paternal reproductive experience	<p>Male</p> <ul style="list-style-type: none"> • proportion mature at age • non-annual maturation of adults • testes weight • sperm motility • effect of male on larval fitness and early life survival • sperm density • fertilization rates, paired matings, in vivo sperm competition
	<ul style="list-style-type: none"> – Condition factor – Length
	<p>Other factors</p> <ul style="list-style-type: none"> Stock-specific values Water temperature interaction/effects Maternal-paternal interactions

A surprising result was the score of 6/10 noted for the 1985 Div. 4VW haddock assessment (Mahon *et al.*, MS 1985). These authors assembled all possible reproductive data available at the time and provided evidence of three levels of recruitment at different stock sizes. This effort by Mahon *et al.*, (MS 1985) revealed that, at least for this stock, the capacity to evaluate reproductive parameters in routine groundfish stock assessments was present in the mid-1980s.

Future Research and Requirements to Estimate Stock Reproductive Potential (SRP)

A review of stock assessment advice in eastern Canada reveals that some headway has been made towards incorporating reproductive biology into management advice, though this has been marginal. Effects of maternal factors on egg size, egg viability, spawning potential, and biological reference points have been explored in recent

TABLE 5. First account of the positive effect of increased body size on egg size for several marine fish species.

Species	Source
Cod – Baltic	Grauman (MS 1964)
– Norwegian coastal	Kjesbu (1989)
Herring (<i>Clupea harengus</i>)	Hempel and Blaxter (1967)
Argentine anchovy (<i>Engraulis achoita</i>)	de Ciechowski (1966)
Striped bass (<i>Morone saxatilis</i>)	Rogers and Westin (1981)
Haddock	Hislop (1988)
Winter flounder (<i>Pseudopleuronectes americanus</i>)	Buckley <i>et al.</i> (1991)
Queenfish (<i>Seriphus politus</i>)	DeMartini (1991)
Turbot (<i>Scophthalmus maximus</i>)	McEvoy and McEvoy (1991)

TABLE 6. Studies conducted on factors affecting egg viability of north Atlantic and Baltic Sea cod.

Location	Source
Norwegian coast	Solemdal <i>et al.</i> (MS 1992, MS 1995)
Bay of Fundy	Trippel (1998)
Iceland	Marteinsdottir and Steinarsson (1998)
Baltic Sea	Nissling and Westin (1991); Nissling <i>et al.</i> (1998)

TABLE 7. Score card used to rank fish stock assessments in their integration of data on fish reproductive biology. Stock assessment documents were reviewed for inclusion of the following 10 points. In scoring, each point was given equal weight though each affects the measurement of a stock's reproductive potential (SRP) differently.

1. Age or length at maturity data
2. Applying annual maturity ogives rather than "knife-edge" age plus group selection for all years to estimate SSB
3. Estimate SSB
4. Stock-recruitment plot
5. Condition factor data – trends if available
6. Condition for specific age, size and sex
7. Fecundity
8. Egg quality (size, viability) in relation to reproductive history, length and/or condition
9. Applying maturity, fecundity, condition, egg quality data to compute stock reproductive potential (SRP)
10. Establishing minimum safe threshold SRP (conservation threshold)

modeling initiatives (Trippel *et al.*, 1997b; Marshall *et al.*, 1998; Murawski *et al.*, 1999), and further efforts of this kind are encouraged. Notwithstanding these efforts, the lack of attention given to reproductive biology in stock assessments may

simply be a result of inadequate data. Perhaps scientific peer review led to the pronouncement that other problems in the assessment were more critical and required immediate attention. Regardless of the reason(s), if improvements are to be made,

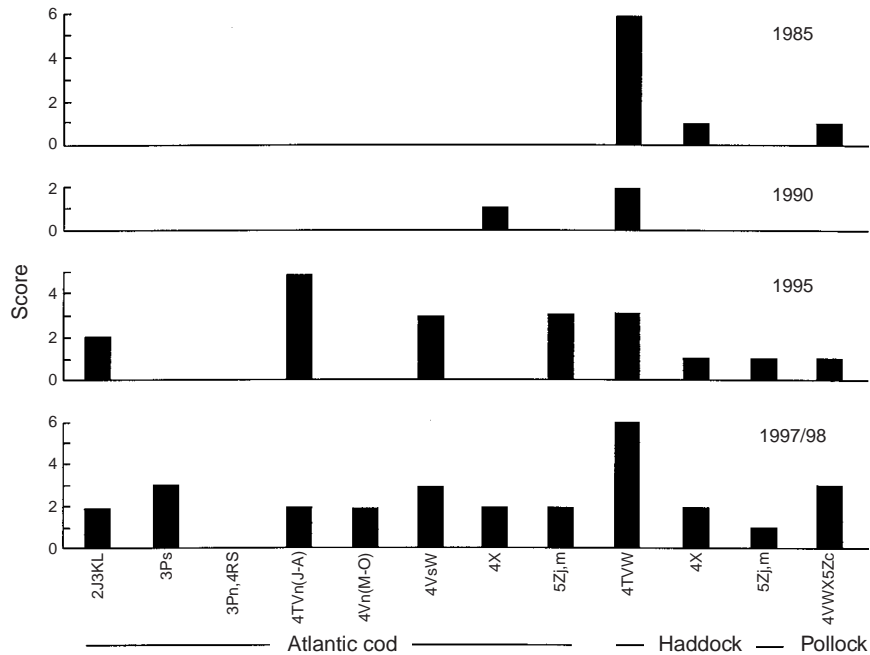


Fig. 2. Individual scores (out of 10) received by Canadian northwest Atlantic gadoid stock assessments in their use of data on fish reproductive biology (1985–1997/98). For method of scoring refer to Table 7 and for further details see Appendices 1 and 2.

TABLE 8. Average scores (out of 10) in the application of reproductive biology towards the estimation of a stock's reproductive potential in the Canadian Northwest Atlantic.

Year of Stock Assessment	Cod n = 8 stocks	Haddock n = 3 stocks	Pollock n = 1 stock
1985	0	2.7 (range 1–6)	1
1990	0	0.7 (0–2)	0
1995	1.6 (0–5)	1.5 (1–3)	1
1997/98	2.1 (2–3)	4 (1–6)	3

biologists will inevitably require the necessary support (funds, technical support, vessel time, etc.) to collect and analyze reproductive data.

The information that exists on the reproductive state of northwest Atlantic cod is poor. Unbelievably, fecundity has been recorded for ~600 individuals over the past century for all northwest Atlantic cod stocks combined (Table 9). Other than

the outdated material for Gulf of Maine cod (Earll, 1880), no published fecundity data exist for the four southern cod stocks spanning from Cape Breton, Nova Scotia to Georges Bank. Collection of maturity data recently has been discontinued for several gadoid stocks (Trippel *et al.*, 1997a). Lack of a maturity time series means that single year point estimates have to be applied to multiple years. Without proper maturity data one cannot discern

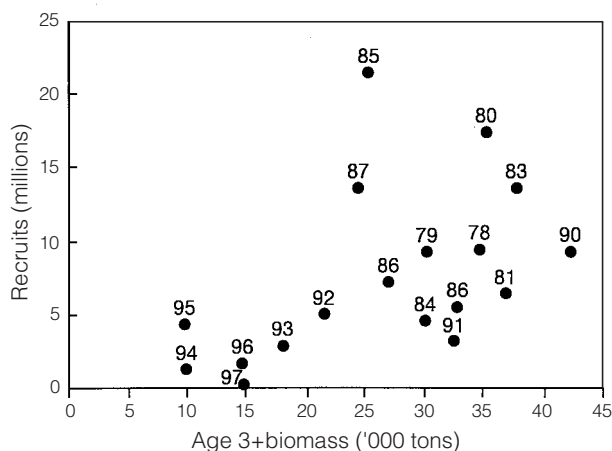


Fig. 3. Stock-recruitment relationship for Georges Bank cod (NAFO Subdivision 5Zj,m) using age 3+ to represent adult biomass. Year-classes are marked. Source: DFO (MS 1998d).

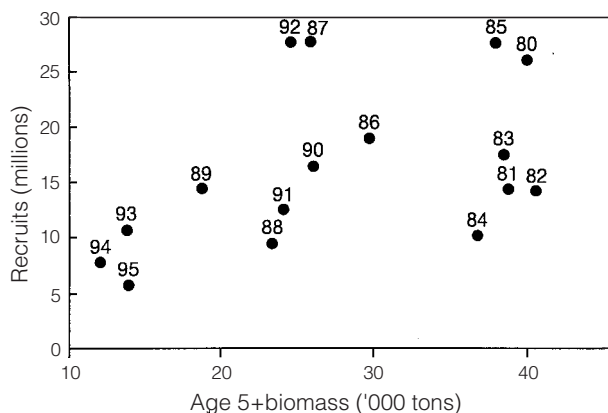


Fig. 4. Stock-recruitment relationship for Southern Scotian Shelf and Bay of Fundy cod (NAFO 4X) using age 5+ to represent adult biomass. When age 3+ was used to represent adult biomass the relationship was less clear. Year-classes are marked. Source: DFO (MS 1997c).

between first and second-time spawners of a population. This shortcoming is significant as virgin females have appreciably lower egg viability than repeat spawners (Solemdal *et al.*, MS 1995; Trippel, 1998).

Sampling of wild fishes should be strongly encouraged to complement the captive fish studies which have dominated recent scientific advances (Tables 2 and 3). We should perhaps design programs such as the "Year(s) of the Egg" (YOTE)

which would help to foster and organize among countries a large-scale initiative to monitor fecundity and egg size differences among stocks in the north Atlantic (perhaps under different food availability, condition factor or water temperature regimes – see for example the long-term study by Kjesbu *et al.* (1998) on Arcto-Norwegian cod). Careful concern during collection of wild specimens would have to be given to the level of gonad ripeness, as eggs expressed from ovaries that are unripe might be less viable and could evidently complicate comparisons of ova viability (Solemdal *et al.*, MS 1992; Marteinsdottir and Steinarsson, 1998). Many of these concerns have been dealt with in Norwegian and Icelandic studies, and underline the complexity of gaining accurate estimates of an individual's annual viable egg production. These field values in association with controlled laboratory experiments (e.g. water temperature, ration level) using captive cod, haddock, pollock and flatfishes would be very useful in providing data to better represent the annual reproductive potential of a given stock. However, one should not lose sight of the additional costs associated with these evaluations.

Ideally, we should search for a rapid method of estimating stock reproductive potential via annual routine monitoring and this should be stock specific. Under present research budgets it is unrealistic to presume that annual fecundity estimates can be made for each stock over a long time series. Studies have shown that a parent's inherent energy supply (e.g. reflected by liver weight and/or condition factor) may help to predict actual fecundity (Kjesbu *et al.*, 1991; Lambert and Dutil, 1997b; Krohn *et al.*, 1997). These simple indicators of reproductive potential may correlate with fecundity or egg size and could be collected annually (e.g. Yaragina, MS 1996) and be used to estimate parameters within an SRP formulation. It would be wise to separate out which components would need to be sampled annually (e.g. age, maturation, condition, and liver weight) and which components (e.g. fecundity and size and viability of eggs) could be estimated from these routinely monitored variables.

Simple correlations have also been noted between gadoid recruitment and body size-at-age (Marshall and Frank, 1999) and an index of age diversity (Marteinsdottir and Thorarinsson, 1998). Further exploration of such stock-specific tools to predict recruitment should be encouraged. These

TABLE 9. Fecundity estimates of northwest Atlantic cod based on wild specimens, 1878–present.

Location	FL/WT	N	Year	Source
Cape Ann, MA	10–34 kg	6	1878–79	Earl (1880)
Gulf of St. Lawrence, 4T	51–140 cm	39	1955–56	Powles (1958)
	48–103 cm	30	1980	Buzeta and Waiwood (1982)
2J, 3K		28	1964	May (1967)
3L		21	1964	
3N		41	1964–65	
3O		40	1964	
All	50–128 cm			
Bonavista Bay	65–109 cm	19	1967	Pinhorn (1984)
		39	1968	
Trinity Bay	60–108 cm	28	1967	
		50	1968	
St. John's	61–118 cm	12	1966	
		50	1968	
Placentia Bay	64–113 cm	96	1966	
St. Pierre Bank	51–138 cm	13	1967	
		3	1968	
		43	1969	
		45	1970	
	Total	603 individuals		

correlates of recruitment should be used to augment information on critical levels of stock reproductive status upon which managers may act to open or close a fishery.

Summary

This symposium is intended to present some of the recent findings on sexual maturation, condition and SSB variation in groundfish stocks. After a scientific hiatus for several decades in the area of parent-progeny relationships, a great deal has been accomplished over the past decade (Solemdal, 1997). This interest has been timely and mainly fueled by problems in the groundfish fisheries.

The task of understanding reproductive variation in batch-spawning fishes is challenging and poses very interesting questions to the scientists involved in this line of work. Had this basic research been conducted during the 1970s–80s, when arguably a disproportionately large effort was being placed on quantitative aspects of assessments, fishery managers would perhaps have been better prepared, at least in eastern Canada, to set minimum SSB thresholds or other conservation thresholds to protect against recruitment overfishing.

An appropriate mix of scientific expertise is required with rapid integration where necessary. This includes support for routine monitoring of reproductive variables so that timely conservation-minded advice can be made on the vulnerability of fish stocks to overexploitation. Further basic research into fish adult life histories is strongly recommended. A master plan is required to integrate these findings into resource management, perhaps in the form of a term such as SRP.

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Appendix 1. Application of reproductive biology in Canadian northwest Atlantic cod stock assessments. Listed for each stock is the source of information, landings and biomass. Stock assessment documents reviewed were those published in 1985, 1990, 1995 and the most recent year (1997/98). Method of scoring is provided in Table 7.

	2I3KL	3Ps	3Pn, 4RS	4TVn (J-A)	1985	4Vn (M-O)	4V5W	4X	5Zjm
Baird and Bishop (MS 1985)	'84 landings = 229,000 t 4+Biomass = 1,238,400-2,086,300 t		Gasson and Fréchet (MS 1985) '84 landings = 94,000 t 6+Biomass = 372,000 t	Chouinard and Clay (MS 1985) '84 landings = 57,000 t 4+Biomass = 660,000 t	Smith and Sinclair (MS 1985) '85 TAC = 12,000 t no VPA	Sinclair and Gavaris (MS 1985) '84 landings = 52,000 t 4+Biomass = 51,000 t	Campana and Simon (MS 1985) '84 landings = 25,000 t 4+Biomass = 51,000 t	Hunt and Watwood (MS 1985) '84 landings = 39,000 t 4+Biomass = 88,000 t (5Z+6)	
Score = 0			Score = 0	Score = 0	Score = 0	Score = 0	Score = 0	Score = 0	
Baird <i>et al.</i> (MS 1990)	'89 landings = 240,000 t 3+Biomass = 850,000 t		Fréchet and Schwab (MS 1990) '89 landings = 47,000 t 4+Biomass = 167,000 t	Chouinard <i>et al.</i> (MS 1990) '89 landings = 50,000 t 3+Biomass = 380,000 t	Lambert and Smith (MS 1990) '89 landings = 8,000 t no VPA	Fanning and MacEachern (MS 1990) '89 landings = 37,000 t 4+Biomass = 95,000 t	Campana and Hamel (MS 1990) '89 landings = 20,000 t 4+Biomass = 69,000 t	Hunt (MS 1990) '89 landings = 14,000 t 3+Biomass = 69,000 t	
Score = 0			Score = 0	Score = 0	Score = 0	Score = 0	Score = 1	Score = 0	
Bishop <i>et al.</i> (MS 1995a)	moratorium July 2, 1992 '94 landings = 1,350 t '94 Biomass = 2,500 t no VPA	Bishop <i>et al.</i> (MS 1995b) moratorium August 1993 '94 landings = 500 t '94 Biomass = 15,000 t no VPA	Fréchet and Schwab (MS 1995) moratorium January 1, 1994 '94 landings = 384 t no VPA	Sinclair <i>et al.</i> (MS 1995) moratorium September 1993 Spawner biomass = 100,000 t	Lambert and Wilson (MS 1995) moratorium September 1993 '94 landings = 50 t no VPA	Fanning <i>et al.</i> (MS 1995) moratorium September 1993 '94 landings = 368 t 4+Biomass = 38,000 t	Clark <i>et al.</i> (MS 1995) '94 landings = 13,000 t 3+Biomass = 27,000 t	Hunt and Buzeta (MS 1995) '94 landings = 7,200 t 4+Biomass = 13,000 t	
"few older cod and no indication of improved recruitment"	"loss of older age groups"	"very few adult fish in the population"	"adult biomass has stabilized since the closure," method to determine adults not given	"very few fish over 60 cm were taken, which has been the case since the late '80s"	no maturing data shown SSB = age 6+ "used as a proxy for SSB"		maturity data used Hunt (1996) 40% age 2 75% age 3 100% age 4		

Appendix 1. (Continued). Application of reproductive biology in Canadian northwest Atlantic cod stock assessments. Listed for each stock is the source of information, landings and biom. ass. Stock assessment documents reviewed were those published in 1985, 1990, 1995 and the most recent year (1997/98). Method of scoring is provided in Table 7.

	213KL	3Fs	3Ph, 4Rs	4TVn (J-A)	4Vn (M-O)	4V sW	4X	5Zj,m
Score = 2	Lilly <i>et al.</i> (MS 1998) '97 landings = 500 t Biomass = 20,000 t (to VPA) "Few fish >age 5 (those in 3L)"	Stansbury <i>et al.</i> (MS 1998) Re-opened in May 1997 '97 landings = 9,000 t Spawner biomass = 215,000 t (likely retrospective) "At least 50% of the females mature by age 5"	Score = 0	Score = 5 No S-R evaluation, but recruitment trend is shown	Score = 0 1997/98	Score = 3	Score = 0	Score = 3
				Score = 5 "Improved recruitment is required for stock recovery" "As in recent years, few cod were over 50 cm" "Weights at age from the survey have declined" Maturity data 1990-94, maturity age ogives shown "Ovaries were routinely cut and examined internally before assigning maturity stage" "September does not provide consistent maturity data" "Seasonal cod con-dition has been month-over since September 1991 - monthly"	Score = 0 DFO (MS 1998b) A3-02 '95-97 landings <50 t SSB5+ = 7,400 t "They are assumed to be fully mature at age 5" Plot of SSB over time shown	Score = 3 DFO (MS 1998c) A3-03 '97 landings = 231 t SSB = 25,000 t "They are fully mature from age 5" The SSB is at or near the lowest seen,	Score = 0 DFO (MS 1997a) A3-05 '97 landings = 13,000 t 4+Biomass = 45,000 t No maturity data "Scientific advice is pre-sented on the basis of a target capture rate of ~ 16%	Score = 3 DFO (MS 1998d) A3-04 '97 landings = 3,500 t 3+Biomass = 16,000 t No maturity data Adult 3+ "Chances for improved recruitment are greater at adult biomass levels"
	"Declining trends in condition factor apparently reversed in 1993-94, particularly for Div. 2" "Virtual absence of cod older than age 7"			Score = 5 "Improved recruitment is required for stock recovery" "As in recent years, few cod were over 50 cm" "Weights at age from the survey have declined" Maturity data 1990-94, maturity age ogives shown "Ovaries were routinely cut and examined internally before assigning maturity stage" "September does not provide consistent maturity data" "Seasonal cod con-dition has been month-over since September 1991 - monthly"	Score = 0 4Vn (M-O)	Score = 3 "Steady decline in condition" "Apparent dis-appearance of a major 4W spring spawning component" "Stock-recruitment relationship from VPA and survey" "Environment-based recruitment models"	Score = 0 4X	Score = 3 Did not use year-specific values "Decline in adult biomass between 1990 and 1995 is substantial" "Recruitment since the 1990 year-class has been well below average" Figure of SSB and recruits at age 1

Appendix 1. (Continued). Application of reproductive biology in Canadian northwest Atlantic cod stock assessments. Listed for each stock is the source of information, landings and biomass. Stock assessment documents reviewed were those published in 1985, 1990, 1995 and the most recent year (1997/98). Method of scoring is provided in Table 7.

2J3KL	3Ps	3Pn, 4Rs	4TVn (J-A)	4Vn (MO)	4Vsw	4X	5Zjm
<p>"Age at 50% maturity declined in the early 1990s, increased from 1994-96 and then declined abruptly in 1997 (Female=5.0y)</p> <p>"Spawner biomass in the offshore calculated from mean catch/low, mean weight at age, and pro-portion mature at age, declined rapidly in the early 1990s and remains at an extremely low level"</p> <p>"The spawning stock could decline further even in the absence of a fishery in 1998"</p>	<p>(53 cm) in recent years, compared to age 6 (58 cm) in the 1980s"</p> <p>"The current (1997) estimate of age at 50% maturity is the lowest in the time series at 4.6 yr (female)." In 1988 = 7.2 yr. Figure of maturity shown</p> <p>"Continuing low age at maturity is often an indicator of low stock size"</p> <p>No S-R data shown</p>	<p>groups used (age 7+?)</p> <p>"Winter and spring condition in 1997 reached the low levels seen in 1992"</p> <p>"Improved recruitment is essential for the stock to recover"</p> <p>"The estimated $F_{0.1}$ is higher for an M of 0.4 than for an M of 0.2, but fishing a stock is declining would accelerate its depletion"</p>	<p>"No stock-recruitment, however, probably because of stock mixing concerns"</p> <p>SSB is very low and has not shown any recovery"</p>	<p>between 5-16% of the average from 1979-89"</p> <p>Presence of slinky fish in the catch"</p> <p>"Investigations into the cause and significance of low condition in fish have suggested that low temperatures can induce poor survivorship and reproductive success can result"</p> <p>"SSB, while not declining, has not rebuilt since the closure of the fishery"</p> <p>"The long-term relationship between stock and recruitment showed little correlation until about 1986. Since that time, the relationship has been positively correlated, with both stock and recruitment declining simultaneously"</p>	<p>of the population and maintaining a large SSB to enhance the probability of good recruitment"</p> <p>"A relationship exists between age 5+ biomass and recruitment"</p> <p>"The S-R relationship shown projected for 1998 is 38,000 t, suggesting that there is currently a higher probability of average or better recruitment"</p>	<p>>25,000 t"</p> <p>S-R figure shown</p>	<p>Score = 2</p> <p>Score = 3</p> <p>Score = 2</p> <p>Score = 2</p> <p>Score = 3</p> <p>Score = 2</p> <p>Score = 2</p> <p>Score = 2</p>

Appendix 2. Application of reproductive biology in Canadian northwest Atlantic haddock and pollock stock assessments. Listed for each stock is the source of information, landings and biomass. Stock assessment documents reviewed were those published in 1985, 1990, 1995 and the most recent year (1997/98). Method of scoring is provided in Table 7.

Haddock		Pollock	
4VW	4X	5Z,m	4 VVXSZc
1985		1990	
<p>Mahon <i>et al.</i> (MS 1985) '84 landings = 8,230 t 3+Biomass = 76,000 t</p> <p>Mature female SSB estimated from 1948-83, using percent mature at age and assuming a 1:1 sex ratio. Maturity data exist for 1958-83</p> <p>Seasonal differences in weight at length (condition) were greater than interannual differences</p> <p>Score = 6</p>	<p>O'Boyle and Gearty (MS 1985) '84 landings = 19,500 t SSB ~40,000 t</p> <p>No maturity data "Rough relationship with recruitment to spawning stock size"</p> <p>Score = 1</p>	<p>Watwood and Neilson (MS 1985) '85 landings = 10,300 t (5Z6) Fishable biomass = 33,000 t</p> <p>"Growth overfishing and dangerously close to recruitment overfishing." "These results are in agreement with a recent analysis by Gabriel <i>et al.</i> (1984) which suggests that current levels of SSB per recruit are inadequate to maintain this stock nor to allow for rebuilding"</p> <p>Score = 1</p>	<p>McGlade <i>et al.</i> (MS 1985) '84 landings = 40,000 t 3+Biomass = 203,000 t</p> <p>Maturity ogives: 1979-84 and by cohort Sex ratios early 1970s: age 4 (50%) late 1970s: age 3 (50%) age 4 (100%) No SSB estimate</p> <p>Score = 1</p>
1990		1990	
<p>Zwahlenburg (MS 1990) '89 landings = 7,750 t no VPA</p> <p>"Low levels of SSB, poor recruitment for 4 consecutive years" "Fish over age 7 are rare" "Mean weight of fish in catch declining"</p> <p>Score = 2</p>	<p>Frank <i>et al.</i> (MS 1990) '89 landings = 6,700 t no VPA</p> <p>Score = 0</p>	<p>Gavaris and VanEeckhaute (MS 1990) '89 landings = 3,000 t Adult biomass 3+ = 13,000 t</p> <p>Score = 0</p>	<p>Annand <i>et al.</i> (MS 1990) '89 landings = 41,000 t 3+Biomass = 200,000 t</p> <p>Score = 0</p>

Appendix 2. (Continued) Application of reproductive biology in Canadian northwest Atlantic haddock and pollock stock assessments. Listed for each stock is the source of information, landings and biomass. Stock assessment documents reviewed were those published in 1985, 1990, 1995 and the most recent year (1997/98). Method of scoring is provided in Table 7.

Haddock		Pollock	
4YW	4X	5Z,m	4 VVXSZc
1995			
(4TVW) Zwanenburg <i>et al.</i> (MS 1995) Monitoring in September 1995 '94 landings = 1001	Hurley <i>et al.</i> (MS 1995) '94 landings = 4,273 t	Gevens and VanEeckhaute (MS 1995) '95 landings = 2,700 t 3+Biomass = 20,000 t	Neilson and Perley (MS 1995) '94 landings = 15,000 t 3+Biomass = 61,000 t
no maturity time series used "Under the assumption that the maturity schedules have not shifted, the present SSB may be as low as 12,000 t" "Female SSB estimated from surveys in the order of 2,000-6,000 t" "Assumed knife-edge maturity at 42.5-46.5 cm for all years" Stock-recruitment plots given	"SSB is estimated to be at historically low levels" "Strict small fish protocols should be taken to allow these year-classes to mature and reproduce"	"A larger spawning biomass could enhance recruitment by capitalizing on the opportunities for greater egg and larval survival when environmental conditions are favorable" "Continued conservation efforts to permit recruits to realize their growth and reproductive potential are needed to rebuild the population biomass and to expand the age structure"	"5+ biomass (the approximate spawning stock)"
Score = 3	Score = 1	Score = 1	Score = 1
1997/98			
(4TVW) DFO (MS 1997b) '96 landings = 133 t 3+ to 5+Biomass = 13,000-23,000 t	DFO (MS 1997c) '96 landings = 5,700 t SSB = 41,000 t	DFO (MS 1998e) '97 landings = 2,850 t (Can + US) 3+Biomass = 22,000 t	DFO (MS 1997d) '97 TAC = 15,000 t Biomass = 85,000 t
"Sexual maturity is reached after 3-5 yr" "A large female haddock (~60 cm) can produce several hundred thousand eggs" "Length at 50% maturity has declined by about 20% since 1990" "The condition index of adult haddock has shown a 10-15% decline since 1970. Unlike the adults, juvenile haddock do not show trends in condition" SSB 3+ and recruitment figure given	No maturity time series or low SSB determined "~50% of female haddock are mature by age 3; however the number of eggs produced by a female of this age is low and increases dramatically with age" "Scientific advice is presented on the basis of a target capture rate of ~20% of the population and maintaining a large SSB to enhance the probability of good recruitment" "Condition has decreased since the late 1980s to low levels in 1995" "There appears to be no relationship between SSB and recruitment over the biomass range observed."	"Many haddock mature by age 2 but it is uncertain if these young fish spawn successfully"	"Pollock are mature at ages 3-5, depending on the area" Stock (5+biomass) - recruitment plot given "For this groundfish resource, there does not appear to be a predictable relationship between recruitment and adult stock size."
Score = 6	Score = 2	Score = 1	Score = 3

